FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## OMB APPROVAL OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Sanders Thomas R   |         |       |  | Event Requirin<br>//Year)<br>9 | g Statement  | 3. Issuer Name and Ticker or Trading Symbol  Tectonic Financial, Inc. [ TECTP ] |   |  |  |  |  |
|--|---------|-------|--|--------------------------------|--|---|---|--|--|--|--|
|  |         |       |  |                                |  |   | ship of Reporting Person(s) to Issuer applicable) |  |  | 5. If Amendment, Date of Original Filed (Month/Day/Year)   |  |
| (Last) (First) (Middle) C/O TECTONIC FINANCIAL, INC. 16200 DALLAS PARKWAY, SUITE 190                               |         |       |  |                                |  | X   | Director Officer (give title below)               | 10% Owner<br>Other (specify below)                       |  | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |
| (Street)   |         |       |  |                                |  |   |   |  |  |  |  |
| DALLAS   | TX      | 75248 |  |                                |  |   |   |  |  |  |  |
| (City)   | (State) | (Zip) |  |                                |  |   |   |  |  |  |  |
| Table I - Non-Derivative Securities Beneficially Owned   |         |       |  |                                |  |   |   |  |  |  |  |
| 1. Title of Security (Instr. 4)  |         |       |  |                                |  | 2. Amount o<br>Instr. 4)  |   | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) |  | 4. Nature of Indirect Beneficial Ownership (Instr. 5)  |  |
| Common Stock   |         |       |  |                                |  |   | 215,100   | D  |  |  |  |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |         |       |  |                                |  |   |   |  |  |  |  |
| 1. Title of Derivative Security (Instr. 4)  2. Date Exercisable and Expiration Date (Month/Day/Year)               |         |       |  | ite                            | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)  4. Conversion Exercise Price of |   |   |  | 6. Nature of Indirect Beneficial<br>Ownership (Instr. 5) |  |  |
|  |         |       |  | Date                           | Expiration   | Title   |   | Amount or<br>Number of                                   | Derivative<br>Security                                   |  |  |

**Explanation of Responses:** 

By Michelle Baird as Attorney-in-Fact for Thomas R. Sanders

\*\* Signature of Reporting Person

05/13/2019

Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).