



Small Business and Local Government Assistance Compliance Checklist for Wineries October 2018

Company Information			
Company Name		Contact Person	
Mailing Address		Physical Address	
Phone		E mail	
Date Operations Began			

Helpful Publications:

- RG-532: Wineries: Am I Regulated?

Public Water Supply Guidance:

- Managing Small Public Water Systems (RG-501):
 - Part A. Asset management (RG-501a)
 - Part B. Sources Assessment and Planning (RG-501b)
 - Part C. Operation and Maintenance (RG-501c)
 - Part D. Compliance (RG-510d)
 - Part E. Resources (RG-501e)
- RG-421: Coliform Sampling for PWS's
- RG-428: Preparation of a Drinking Water Survey Report
- RG-247: Sources of Help for Utilities and Their Customers
- RG-195: Rules & Regulations for Public Water Systems
- RG-496: You're a Public Water. Now What?
- RG-346: Standards and Reporting Requirements for PWS's
- RG-384: How to Develop a Monitoring Plan for PWS's
- RG-407: Disinfection Residual Reporting for PWS's

Wastewater and Stormwater Permits:

- WQG100000 Evaporation Pond General Permit
- TXR150000 Construction Stormwater General Permit
- TXR050000 Multi Sector General Permit aka Industrial Stormwater General Permit
- RG-514: Basics of Industrial Stormwater Regulations
- RG-467: Conditional No-Exposure Exclusion for Stormwater Runoff:

Waste Regulations:

- GI-036: Mulching and Composting, A "Take Care Of Texas" Guide
- RG-022: Guidelines for the Classification and Coding of Industrial and Hazardous Waste
- RG-234: Industrial and Hazardous Waste: Rules and Regulations for Small-Quantity Generators
- RG-240: Can I Recycle Some of My Industrial or Hazardous Wastes?

Compliance Checklist for Wineries

If N/A is shadowed N/A is not an option. When N/A is chosen, provide a brief description in the summary of the checklist of why it is not applicable.

			YES	NO	N/A
SECTION I. Drinking Water					
1.	30 TAC 290.38(71)	Does the winery meet the definition of a public water system as defined in 290.38? (If no, skip the Public Water System requirement section)			
2.	30 TAC 290.39(f)	If the winery meets the definition of a public water system, has the system been approved by TCEQ?			
3.	30 TAC 290.39(d)	If no, plans and specifications must be submitted according to 290.39(d)			
		Public Water System (PWS) Requirements -Transient Non Community Note: This checklist covers requirements for groundwater or purchased water systems (not for surface water systems). Recordkeeping requirements start from the date that a PWS is established (when a system is activated and assigned a PWS ID number).			
4.	30 TAC 290.39(j)	All changes to the original approved water system including appurtenances have been approved by TCEQ?			
5.	30 TAC 290.42(m) 290.38(41) & 290.43(e)	The water treatment plant and all appurtenances are enclosed by an intruder-resistant fence?			
6.	30 TAC 290.45(d)(1)	The PWS can supply a minimum of 18 gallons per person per day?			
7.	30 TAC 290.45(d)(2)	If applicable, does the PWS meet requirements for well capacity and minimum pressure tank capacity?			
8.	30 TAC 290.45(d)(2)	If applicable, does the PWS meet requirements for ground storage capacity and service pumps?			
9.	30 TAC 290.42(l)	Does the system have a plant Operations & Maintenance Manual?			
10.	30 TAC 290.45(a)(2), 290.46(r) & & 290.44(d)	The PWS maintains 35 psi under normal operating conditions?			
11.	30 TAC 290.45(f)	If water is purchased, does the purchase contract authorize the purchase of enough water to meet the monthly or annual needs of the winery?			
12.	30 TAC 290.44(i)	If water is purchased and distributed to the winery by tank truck or trailer, are they using an approved water hauler?			

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			YES	NO	N/A
13.	30 TAC 290.46(d)	Is a disinfection residual maintained? free chlorine residual of 0.2 milligrams per liter (mg/L) or chloramine residual of 0.5 mg/L (measured as total chlorine) for those systems that distribute chloraminated water?			
14.	30 TAC 290.46(f)	Are two years of records showing the amount of chemicals used weekly or daily available?			
15.	30 TAC 290.46(f)	Are two years of records showing the volume of water treated and distributed weekly or daily available?			
16.	30 TAC 290.46(f)	Are two years of records showing the date, location, and nature of water quality, pressure, or outage complaints received by the system and the results of any subsequent complaint investigation available?			
17.	30 TAC 290.46(l)	Are all dead end mains flushed at monthly intervals?			
18.	30 TAC 290.46(f)	Are two years of records showing the dates that dead-end mains were flushed available?			
19.	30 TAC 290.46(m)	The ground, elevated and pressure tanks are inspected annually according to 290.46 (m)?			
20.	30 TAC 290.46(f)	Are two years of records showing the dates that storage tanks and other facilities were cleaned available?			
21.	30 TAC 290.46(m)	The system is in good working condition and the general appearance of the system's facilities and equipment is maintained according to 290.46 (m)?			
22.	30 TAC 290.46(f)	Are three years of records showing copies of notices of violation and any resulting corrective actions available?			
23.	30 TAC 290.122	Public notice was issued if any of the requirements in 290.122 are met?			
24.	30 TAC 290.46(f)	Are three years of records showing copies of any public notice issued by the water system available?			
25.	30 TAC 290.46(f)	Are three years of records showing the disinfectant residual monitoring results from the distribution system available?			
26.	30 TAC 290.46(f)	Are three years of records showing the calibration records for laboratory equipment, flow meters, rate-of-flow controllers, on-line turbidimeters, and on-line disinfectant residual analyzers available?			

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			YES	NO	N/A
27.	30 TAC 290.46(f)	Are three years of records showing the records of backflow prevention device programs available?			
28.	30 TAC 290.46(f)	Are three years of records showing free and total chlorine, monochloramine, ammonia, nitrite, and nitrate monitoring results if chloramines are used in the water system available?			
29.	30 TAC 290.46(f)	Are three years of records showing treatment effectiveness monitoring for systems using reverse osmosis or nanofiltration membranes available?			
30.	30 TAC 290.46(f)	Are five years of records (after they are no longer in effect) concerning a variance or exemption granted to the system available?			
31.	30 TAC 290.46(f)	Are five years of records showing results of microbiological analyses available?			
32.	30 TAC 290.46(f)	Are five years of records showing results of inspections for all water storage and pressure maintenance facilities available?			
33.	30 TAC 290.46(f)	Are five years of records showing results of inspections for all pressure filters available?			
34.	30 TAC 290.46(f)	Are five years of records showing the lowest daily residual disinfectant concentration and records of the date and duration of any failure to maintain the executive director-approved minimum specified disinfectant residual for a period of more than four hours available?			
35.	30 TAC 290.46(f)	Are five years of records of executive director-specified compliance requirements for membrane filtration, records of parameters specified by the executive director for approved alternative treatment and records of the date and duration of any failure to meet the membrane operating, membrane integrity, or alternative treatment operating requirements for more than four hours available?			
36.	30 TAC 290.46(f) & 290.109	Are five years of records showing assessment forms and documentation of corrective actions completed or documentation of corrective actions required but not yet completed as a result of those assessments and any other available summary documentation of the sanitary defects and corrective actions taken available?			
37.	290.46(f) & 290.109	Are five years of records showing any repeat sample taken that meets the criteria for an extension of the 24-hour period for collecting repeat samples available?			
38.	30 TAC 290.46(f)	Are ten years of records showing copies of Monthly Operating Reports and any supporting documentation including turbidity monitoring results of the combined filter effluent available?			
39.	30 TAC 290.46(f)	Are ten years of records showing results of chemical analyses available?			

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			YES	NO	N/A
40.	30 TAC 290.46(f)	Are ten years of records (after completion) of any written reports, summaries, or communications relating to sanitary surveys of the system conducted by the system itself, by a private consultant, or by the executive director available?			
41.	30 TAC 290.46(f) & 290.116	Are ten years of records of documentation of corrective actions taken by groundwater systems available?			
42.	30 TAC 290.109(d)	Has a Sample Siting Plan been developed?			
43.	30 TAC 290.46(f)	Are ten years of records of Sample Siting Plans available?			
44.	30 TAC 290.121	The system maintains an up-to-date chemical and microbiological monitoring plan?			
45.	30 TAC 290.46(f)	Are ten years of records of monitoring plans available?			
46.	30 TAC 290.46(f) & 290.116	Are ten years of records of executive director-approved minimum specified disinfectant residual and executive director-approved membrane system integrity monitoring results for groundwater systems providing 4-log treatment available?			
47.	30 TAC 290.46(h)	A supply of calcium hypochlorite disinfectant is on hand for making repairs?			
48.	30 TAC 290.46(k)	Interconnections (physical connection) between the distribution system of a public drinking water supply and that of any other water supply has been approved by the TCEQ?			
49.	30 TAC 290.46(n)	Accurate and up-to-date detailed as-built plans or record drawings and specifications for each treatment plant, pump station, and storage tank are maintained at the public water system?			
50.	30 TAC 290.46(n)	Accurate and up-to-date map of the distribution system is available so that valves and mains can be easily located during emergencies?			
51.	30 TAC 290.46(n)	Copies of well completion data as defined in §290.41(c)(3)(A) is kept on file?			
52.	30 TAC 290.46(q)	Boil water notices posted and rescinded according to 290.46 (q)?			
53.	30 TAC 290.46(s)	Flow measuring devices and rate-of-flow controllers are calibrated once every 12 months?			
54.	30 TAC 290.46(s)	Well meters are calibrated at least once every three years or documentation that it has been replaced?			
55.	30 TAC 290.46(s)	All Lab equipment is tested and calibrated according to 290.46 (s) (2)?			

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			YES	NO	N/A
56.	30 TAC 290.46(w)	The system maintains internal procedures to notify the TCEQ immediately if any event that may negatively impact the production or delivery of safe and adequate drinking water?			
57.	30 TAC 290.46(z)	If the system distributes chloraminated water, a Nitrification Action Plan has been developed according to 290.46 (z)?			
58.	30 TAC 290.104	Water meets the maximum contaminant levels, maximum residual disinfectant levels, treatment techniques and action levels described in 290.104 in either a single sample or based on a running annual average (whichever is applicable)?			
59.	30 TAC 290.105	Water meets the secondary standards according to 290.105?			
60.	30 TAC 290.106(a)(2)	Does the system comply with the requirements of 290.106 (a)(2) regarding monitoring, reporting, and MCL for nitrate and nitrite?			
61.	30 TAC 290.109	The system produces and distributes water that meets the provisions of 290.109 regarding microbial contaminants?			
62.	30 TAC 290.110(b)(2)	The residual disinfectant concentration in the water entering the distribution system shall be at least 0.2 milligram per liter (mg/L) free chlorine or 0.5 mg/L chloramine (measured as total chlorine).			
63.	30 TAC 290.110(b)(3)	The chlorine dioxide residual of the water entering the distribution system does not exceed an MRDL of 0.8 mg/L			
64.	30 TAC 290.110(b)(4)	The residual disinfectant concentration in the water within the distribution is at least 0.2 mg/L free chlorine or 0.5 mg/L chloramine (measured as total chlorine).			
65.	30 TAC 290.110(b)(5)	The running annual average of the free chlorine or chloramine residual (measured as total chlorine) of the water within the distribution system does not exceed an MRDL of 4.0 mg/L			
66.	30 TAC 290.110(c)	The water system monitors the performance of the disinfection facilities to ensure that appropriate disinfectant levels are maintained?			
67.	30 TAC 290.110 (c)	All monitoring conducted pursuant to the requirements of 290.110 (c) are conducted at sites designated in the public water system's monitoring plan?			
68.	30 TAC 290.114	If the system uses chlorine dioxide they meet the requirements in 290.114?			
69.	30 TAC 290.116	The system initiated groundwater corrective actions and treatment techniques if triggered as described in 290.116?			

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			YES	NO	N/A
70.	30 TAC 290.118	The system meets secondary constituent levels as described in 290.118 or has written approval from TCEQ to use water the does not meet the levels?			
71.	30 TAC 290.119	All samples collected to determine compliance with maximum contaminant levels, action level, and raw groundwater source monitoring requirements, and samples for microbial contaminants are analyzed at accredited or approved labs?			
		SECTION II: Industrial Wastewater			
		Pump and Haul			
72.	30 TAC 210.23(e)	Is the process wastewater stored in a leak-proof fabricated tank?			
		Discharge to Publicly Owned Treatment Works (POTW)			
73.		Does the winery discharge process wastewater to a POTW (municipal or privately owned wastewater treatment facility)?			
74.	40 CFR 403.8(f)(1)(iii)	Does the facility have permission from the POTW to discharge the wastewater?			
75.	40 CFR 403.8(f)(1)	If the POTW has an approved pretreatment program, does the winery have authorization from the POTW to discharge (i.e. through a permit or by meeting general control mechanisms)?			
76.	40 CFR 403.8(f)(1)	If the winery has a pretreatment permit from the POTW, are they complying with the permit requirements?			
		Reuse or Reclaimed			
77.	30 TAC 210.23(e)	Is the facility's industrial wastewater stored in a leak-proof fabricated tank?			
78.	30 TAC 210.23(b)	If not stored in a leak-proof fabricated tank, is the wastewater initially stored in a lined pond that meets engineering specifications?			
79.	30 TAC 210.53(a)	Does the facility reuse industrial wastewater on site, meet the Level I eligibility requirements, and have a primary means for disposal? (if yes, stop here)			
80.	30 TAC 210.53(b)	Does the industrial wastewater meet Level II eligibility requirements?			
81.	30 TAC 210.56(b)(1)	Does the facility have a primary means of disposal (i.e. discharge to POTW, Pump and Haul)?			

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			YES	NO	N/A
82.	30 TAC 210.55(b)	Has the facility submitted a complete application to the TCEQ using Form TCEQ-20094 and received written approval for reuse?			
83.	30 TAC 210.56	Does the effluent meet limitations required in 210.56 (d)(1):			
		*Total Organic Carbon (TOC) limited to 55 mg/L?			
		*pH limited to minimum of 6.0 su and a maximum of 9.0 su?			
84.	RG-532	Are effluent samples taken according to TCEQ's RG-532? Go to https://www.tceq.texas.gov/assets/public/assistance/sblga/rg-532.pdf .			
85.	30 TAC 210.57(b)(1)	Do the effluent sample results meet the limitations required in the authorization?			
86.	30 TAC 210.57(b)(2)(B)	Does the winery have the last five years' worth of monitoring records?			
87.	30 TAC 210.57(b)(2)(C)	Does the winery have the last five years' worth of operations logs?			
		Evaporation Pond: Wastewater Evaporation General Permit WQG100000			
88.	WQG100000	If the winery uses an evaporation pond do they have permit coverage under WQG100000?			
89.	WQG100000	*Does the facility meet general permit requirements under WQG100000?			
90.	WQG100000	*Does the evaporation pond meet applicable design and construction requirements?			
91.	WQG100000	*Does the facility meet operational requirements including monitoring, maintenance and repair, and solids management?			
92.	WQG100000	*Does the facility maintain records required under the general permit?			
93.		If the winery does not use pump and haul, does not have a reuse permit, or does not meet conditions of the Evaporation Pond general permit, have they obtained an individual permit?			
94.		If an individual permit is obtained, are all requirements of the permit met?			
		SECTION III: Domestic Wastewater			
		Does domestic wastewater (water from toilets, hand washing and food prep) go to a wastewater treatment plant? If yes stop here.			
95.	30 TAC 285	Does the facility have an On Site Septic System?			

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			YES	NO	N/A
96.	30 TAC 285.3	If not meeting an exception, was the septic system authorized by the appropriate local permitting authority?			
97.	30 TAC 285.2(45), 285.2(66)	Does the facility only discharge domestic wastewater to the septic system? Note: None of the process wastewater from winemaking can be discharged to the septic system.			
		SECTION IV: Construction and Industrial Stormwater Discharge Permits			
		Construction General Permit (CGP) for stormwater discharges from construction activities:			
98.		Is there construction activity at the winery including clearing, grading, and excavating at the facility that disturbs more than one acre of land that is not agricultural such as grape vine fields?			
99.	TXR150000, CGP	If yes, does the facility have permit coverage under the Construction General Permit (CGP)?			
100.	TXR150000, CGP	Does the facility have a copy of the permit, TXR150000?			
101.	TXR150000, CGP	Has a stormwater pollution prevention plan been prepared and implemented, and can it be made readily available?			
102.	TXR150000, CGP	Does the facility have a signed construction site notice posted?			
103.	TXR150000, CGP	If applicable, has the site notice been provided to the operator of any Municipal Separate Storm Sewer System (MS4) into which stormwater will be discharged?			
104.	TXR150000, CGP	If construction is disturbing 5 acres or more, has a Notice of Intent form been submitted to TCEQ and provided to the MS4 operator (if applicable)?			
105.	TXR150000, CGP	Does the facility adhere to the requirements of the CGP?			
106.		If not eligible for coverage under the general permit, does the facility have an individual permit?			
		No Exposure Certification under the Industrial Stormwater permit aka Multi Sector General Permit (MSGP):			
107.	Part II. Section C.1 of the MSGP	Does the winery have a No Exposure Certification (NEC) for industrial stormwater discharges and maintain proof of authorization?			

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			YES	NO	N/A
108.	Part II. Section C.1 of the MSGP	Does the facility have a copy of the MSGP, TXR050000?			
109.	Part II. Section C.1 of the MSGP	If the winery claims a No Exposure exclusion, are they meeting all NEC requirements?			
110.	Part II. Section C.1 of the MSGP	*There are no industrial materials or activities (including using, storing or cleaning industrial machinery or equipment, and areas where residuals from using, storing or cleaning industrial machinery or equipment remain) exposed to stormwater.			
111.	Part II. Section C.1(b)(1) of the MSGP	*There are no materials or residuals on the ground or in stormwater inlets from spills/leaks exposed to stormwater.			
112.	Part II. Section C.1(a)(2) of the MSGP	*There are no materials or products stored outdoors that may be exposed to stormwater (except final products intended for outside use [e.g., new cars] where exposure to stormwater does not result in the discharge of pollutants).			
113.	Part II. Section C.1(a)(1) of the MSGP	*There are no materials contained in open, deteriorated or leaking storage drums, barrels, tanks, and similar containers that may be exposed to stormwater.			
114.	Part II. Section C.1 of the MSGP	*There are no materials or products handled/stored on roads or railways owned or maintained by the operator that may be exposed to stormwater.			
115.	Part II. Section C.1(a)(5) of the MSGP	*There is no waste material (except waste in covered, non-leaking containers [e.g., dumpsters]) that may be exposed to stormwater.			
116.	Part II. Section C.1 of the MSGP	*There are no activities that include application or disposal of process wastewater that are not otherwise permitted.			
117.	Part II. Section C.1(a)(7) of the MSGP	*There is no particulate matter or visible deposits of residuals from roof stacks and/or vents not otherwise regulated (i.e., under an air quality control permit) evident in the stormwater discharge.			
		TPDES Multi Sector General Permit			
118.	Part II, Section C.2 of the MSGP	If not claiming a No Exposure Exclusion, has the winery obtained coverage under the MSGP and submitted a Notice of Intent form to TCEQ?			
119.	Part III, Section A.5 of the MSGP	Does the facility have a copy of the MSGP, TXR050000?			
120.	Part II, Section C.4 of the MSGP	Does the facility have a prepared and implemented stormwater pollution prevention plan (SWP3) that can be made readily available?			

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			YES	NO	N/A
121.	Part III, Section A of the MSGP	Does the SWP3 contain the required components and meet requirements specified in Part III, Section A of the MSGP?			
122.	Part III, Section A.2 of the MSGP	*SWP3 establishes a Stormwater Pollution Prevention Team			
123.	Part III, Section A.3 of the MSGP	*SWP3 contains a description of potential pollutants and sources			
124.	Part III, Section A.4 of the MSGP	*SWP3 lists pollution prevention measures and controls			
125.	Part III, Section A of the of the MSGP	*SWP3 maintains required records			
126.	Part III, Section A of the MSGP	*SWP3 is updated if changes are made			
127.	Part III, Section B of the MSGP	Are periodic inspections conducted at least once per quarter and documented in accordance with the MSGP to determine effectiveness of Pollution Prevention Measures and Controls?			
128.	Part III, Section D.1(c) of the MSGP	Does the facility have a rain gauge on site that is monitored once per week and once per day during a rain event?			
129.	Part III, Section D.1(c) of the MSGP	Is a log maintained to document rain gauge monitoring?			
130.	Part III, Section B.3 of the MSGP	Are discharges from each authorized outfall visually examined on a quarterly basis during normal hours of operation and records maintained?			
131.	Part II, Section B.7 of the MSGP	If the facility discharges to an impaired water body with a Total Maximum Daily Load (TMDL), do they use controls to prevent exposure of any Pollutant of Concern (POC), document that the POC is not present, or provide analytical data showing that the discharge will not contribute to the impairment/TMDL?			
132.	Part II, Section B.7 of the MSGP	If monitoring indicates the presence of POC at a level that may contribute to impairment, has the facility implemented a pollutant reduction plan to eliminate the POC?			
133.	Part IV of the MSGP	Is the winery conducting biennial benchmark monitoring (Jan-Jun & Jul-Dec)?			
134.	Part IV, Section B.2 of the MSGP	Are benchmark monitoring sampling results recorded on a Benchmark Summary form (Form TCEQ-20091) and included in the SWP3?			

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			YES	NO	N/A
135.	Part IV, Section B.2 of the MSGP	Is the Benchmark Summary Form submitted to TCEQ by March 31 of each year?			
136.	Part III, Section C of the MSGP	Does the winery conduct annual sampling for Numeric Effluent Limits (hazardous metals) before December 31 of each year?			
137.	Part III, Section C.1(d) of the MSGP	If the facility has waived monitoring requirements for hazardous metals, were criteria met and certified?			
138.	Part III, Section C.1(c) of the MSGP	Are numeric effluent limit sampling results recorded and included in the SWP3?			
139.	Part III, Section C.1(c)(4) of the MSGP	If numeric effluent limit sample results indicate an exceedance of any constituent listed, has a discharge monitoring report (DMR) been submitted by March 31 st using the NetDMR system?			
140.	Part III, Section E(b) of the MSGP	If numeric effluent limit sampling indicates an exceedance that deviates from the effluent limit by more than 40 percent, has a written report been sent to TCEQ's regional office and Enforcement division?			
141.	Part III, Section B.5 of the MSGP	Does the facility conduct an annual comprehensive compliance inspection for the site?			
142.	Part III, Section B.5(b) of the MSGP	Does the facility prepare an annual comprehensive compliance inspection report that is signed and certified within 30 days of performing the annual compliance site inspection?			
		SECTION V: Waste Regulations			
		Stockpiling of waste from the winemaking process			
143.		Are by-products from the winemaking process such as must and lees stockpiled for use on fields?			
144.	30 TAC 332	Is anything other than the by-products from the wine making process added to the stockpile (e.g., food scraps)? If yes, then the facility will need to meet applicable compositing requirements:			
145.	30 TAC 332.21	If the facility is composting, has an authorization been obtained?			
146.	30 TAC 332.4	If composting, is the facility meeting general requirements in 332.4:			
147.	30 TAC 332.4(3)	*Is the stockpile located so none of the pile can leave the facility by stormwater runoff?			
148.	30 TAC 332.4(1)-(6)	*Is the stockpile located or managed so that wind cannot carry any of the pile off the facility?			

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			YES	NO	N/A
149.	30 TAC 332.4(2)	*Is the stockpile located or managed in a manner to minimize any nuisance conditions (odor)?			
150.	30 TAC 332.38, 332.46, 332.23(5)	*If the facility is a notification-level, registered, or permitted composting facility are the appropriate records being maintained?			
		General Requirements			
151.	30 TAC 335.62, 335.504	Has the facility performed a hazardous waste determination on all solid waste streams?			
152.	30 TAC 335.510, 335.511, 335.513	Does the facility maintain documentation to support all hazardous waste determinations?			
153.	30 TAC 335.9	Does the facility have records of monthly waste generation to support its claimed generator status?			
154.	30 TAC 335.78(a), 40 CFR 261.5	*Is the facility a Conditionally Exempt Small-Quantity Generator (CESQG)? CESQGs generate no more than 220 pounds of hazardous waste per month; generate up to 2.2 pounds of acutely hazardous waste per month; never accumulate more than 2,200 pounds of hazardous waste.			
155.	40 CFR 262.34	*Is the facility a Small-Quantity Generator? SQGs generate more than 220 pounds but less than 2,200 pounds of hazardous waste per month; generate up to 2.2 pounds of acutely hazardous waste per month; never accumulate more than 13,200 pounds of hazardous waste.			
156.	40 CFR 262.34	*Is the facility a Large-Quantity Generator? LQGs generate more than 2,200 pounds of hazardous waste per month; generate more than 2.2 pounds of acutely hazardous waste per month; and have a 90-day storage time limit.			
157.	30 TAC 335.6,335.63	*Is this facility registered with the TCEQ as a hazardous waste generator? (not required for CESQG) TCEQ Registration No._____ EPA ID_____			
158.	30 TAC 335.6	Is the facility's Notice of Registration (NOR) up to date, including all waste streams and waste management units? (Not required for CESQG)			
159.	30 TAC 335.9	Has the facility submitted an Annual Waste Summary each year? (Not required for CESQG)			
160.	30 TAC 335.9, 335.70	Does the facility fulfill all other recordkeeping and reporting requirements for its generator status?			
161.	30 TAC 335.503	Is all non-hazardous industrial waste classified as Class 1, Class 2, or Class 3?			
162.	30 TAC 335.6	If the facility generates more than 220 lbs of Class 1 waste, have they registered with TCEQ? TCEQ Registration No._____ EPA ID_____			

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			YES	NO	N/A
163.	30 TAC 335.69	Does the facility comply with appropriate accumulation time requirements?			
164.	30 TAC 335.69	Does the facility comply with appropriate accumulation quantity requirements?			
165.	30 TAC 335 Subchapter D	Does the facility use a TCEQ/EPA registered transporter? (CESQGs may transport their own waste without a manifest to an authorized disposal facility.)			
166.	30 TAC 335.41	Does the facility use a TCEQ/EPA permitted treatment, storage, disposal (TSD) facility?			
167.	30 TAC 335.10	*Does the facility manifest all hazardous and Class I waste that is transported? (Applies to SQG, LQG, and CESQGs that generate more than 220 lbs. of Class I waste. Class I waste sent for recycling does not require a manifest.)			
		Universal Waste			
168.	30 TAC 335.261, 335.262	Does the facility dispose of the following hazardous wastes: batteries, some pesticides, thermostats, fluorescent lights, mercury-containing equipment (including thermostats), or paint and paint related waste?			
169.	30 TAC 335 Subchapter R, 40 CFR 273	Are the waste streams appropriately classified and eligible for coverage under the universal waste rule?			
170.	40 CFR 273.14, 273.34, 30 TAC 335.69(a)(1)(A)	Are all containers holding universal waste properly labeled?			
171.	40 CFR 273.13, 273.33, 30 TAC 335.262(c)(2)	Are universal waste containers closed, structurally sound, compatible with the waste, and lacking signs of leakage?			
172.	40 CFR 273.15, 273.35	Are all universal waste streams shipped to a TSD facility or universal waste handler within 1 year of their initial generation date?			
		SECTION VI: Air Regulations			
		General Requirements			
173.	30 TAC 111 Subchapter A	Does the facility comply with any applicable 30 TAC Chapter 111 requirements? (Control of Air Pollutants from Visible Emissions and Particulate Matter)?			
174.	30 TAC 101.4	Does the facility avoid being a nuisance (noise, dust, odor, etc.)?			
		Outdoor burning			

Compliance Checklist for Wineries

			YES	NO	N/A
175.	30 TAC 111.209	Does the facility conduct onsite burning of trees, brush, grass, leaves, branch trimmings, or other plant growth generated on the property from land clearing? If yes:			
176.	30 TAC 111.219	Does the facility meet general requirements for allowable outdoor burning?			
177.	30 TAC 111.219	Does the facility meet requirements of local ordinances?			
178.	30 TAC 111.219(4)(A)	If the facility is in a non-attainment county or attainment county that extends into a bordering nonattainment county, do they demonstrate that no practical alternative to burning exists?			
		De Minimis Status			
179.	30 TAC 116.119	Does the winery claim De Minimis status by answering yes to (a) and (b) or (c)? Note: If the facility is claiming De Minimis, this status could be revoked if the facility is in violation of an agency rule.			
180.	30 TAC 116.119(a)(2)	*a. Does the facility meet material usage limits?			
181.	30 TAC 116.119(a)(2)	*b. Does the facility maintain records demonstrating compliance with the usage limits?			
182.	30 TAC 116.119(a)(1)	*c. Or, are the sources at the facility claimed as De Minimis included on the “De Minimis Facilities and Sources” list? https://www.tceq.texas.gov/assets/public/permitting/air/NewSourceReview/de_minimis/deminimis-facilities.pdf			
		Permits by Rule (PBR)			
183.	30 TAC 106	Does the winery claim a PBR? If Yes:			
184.	30 TAC 106.4	Does the facility meet the general PBR requirements in 30 TAC 106.4?			
185.	30 TAC 106	Does the facility meet the requirements of the PBR claimed?			
186.	30 TAC 106.242	Food Preparation			
187.	30 TAC 106.244	*Ovens, Barbeque Pits, and Cookers			
188.	30 TAC 106.183	*Boilers, Heaters, and Other Combustion Devices			
189.	30 TAC 106.373	*Refrigeration Systems			
190.	30 TAC 106.511	*Emergency and Portable Engineers			
191.	30 TAC 106.532	*Water and Wastewater Treatment			

Compliance Checklist for Wineries

			YES	NO	N/A
192.	30 TAC 106.263	*Routine Maintenance, Start-up and Shutdown of Facilities, and Temporary Maintenance Facilities			
		*Other/Previous PBRs:_____			
193.	30 TAC 106.8	Does the facility maintain records that demonstrate compliance as required by 30 TAC 106.8 for all PBRs?			
		Emission, Maintenance, Start-up, Shutdown			
194.	30 TAC 101.201	*Does the facility track all reportable and non-reportable emission events and report them to TCEQ by March 31 of each year?			
195.	30 TAC 101.211	*Does the facility track all reportable and non-reportable scheduled maintenance, start-up, and shut-down activities and report them to TCEQ by March 31 of each year?			
196.	30 TAC 101.10	Is the facility required to submit an annual emissions inventory?			
197.	30 TAC 101.201(b), 101.211(b)	*Are these records maintained for a minimum of 5 years?			
		Air Regulations (30 TAC 116) - Permits			
198.	30 TAC 116	Does the facility have an air permit? If yes, Permit No. _____			
199.	30 TAC 116	If yes: Does the facility comply with all permit conditions?			
		SECTION VII: Edwards Aquifer			
200.	30 TAC 213	Is the facility located in one or more of the following counties? Williamson, Travis, Hays, Comal, Bexar, Medina, Uvalde, or Kinney counties			
201.	30 TAC 213	If in one of the above affected counties, is the winery located over the recharge zone, contributing zone, transition zone, or contributing zone within the transition zone of the Edwards Aquifer? For an interactive map of the Edwards Aquifer, please go to: https://www.tceq.texas.gov/gis/edwards-viewer.html If yes:			
202.	30 TAC 213.5	Before building, has a plan been reviewed and approved by the TCEQ's Edwards Aquifer Protection program?			
203.	30 TAC 213	Are applicable requirements met under 30 TAC Chapter 213?			