

PART 2: YOUR DETAILED INFORMATION

Section 5: Self-Managed Super Fund (SMSF)

5.1 SMSF details

The fund has supplied the most recent financial accounts, tax return, investment strategy and trust deed.

Fund details

Full Name of SMSF			
ABN		SFN:	

Contact information

Postal address					
Suburb		State:		Postcode:	
Phone		E-mail:			

5.2 Trustee details

Are all Trustees in Australia?	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, is there planned extended travel?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the SMSF have a corporate trustee?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
If yes, what is the full name and address of the corporate trustee?			
Who are the trustees / directors of the corporate trustee?			
Name:			
Name:			
Name:			
Name:			

5.3 Member details

Member	Date of birth	Trustee status	If not trustee, who is acting as trustee?
	-- / / --	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	-- / / --	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	-- / / --	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	-- / / --	<input type="checkbox"/> Yes <input type="checkbox"/> No	

PART 2: YOUR DETAILED INFORMATION

Member account details

Date of last Member Account Details: ___/___/___

Accumulation accounts	Tax free component	Taxable component	Current balance
Member 1	\$	\$	\$
Member 2	\$	\$	\$
Member 3	\$	\$	\$
Member 4	\$	\$	\$

Account based / TTR pensions	Tax free proportion	Current balance	Annual payment	Commencement date
Member 1	%	\$	\$	___/___/___
Member 2	%	\$	\$	___/___/___
Member 3	%	\$	\$	___/___/___
Member 4	%	\$	\$	___/___/___

	Member 1	Member 2	Member 3	Member 4
Death nomination	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Nomination type	<input type="checkbox"/> Discretionary <input type="checkbox"/> Binding Lapsing <input type="checkbox"/> Binding Non-Lapsing	<input type="checkbox"/> Discretionary <input type="checkbox"/> Binding Lapsing <input type="checkbox"/> Binding Non-Lapsing	<input type="checkbox"/> Discretionary <input type="checkbox"/> Binding Lapsing <input type="checkbox"/> Binding Non-Lapsing	<input type="checkbox"/> Discretionary <input type="checkbox"/> Binding Lapsing <input type="checkbox"/> Binding Non-Lapsing
Binding death expiry date	___/___/___	___/___/___	___/___/___	___/___/___
Beneficiary name(s)				
Relationship of beneficiary				
Benefit (\$ / %)				
Insurance cover held	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

Superannuation contributions (pa)

Non-concessional	\$	\$	\$	\$
Spouse	\$	\$	\$	\$
Govt. Co-contribution	\$	\$	\$	\$
Superannuation Guarantee	\$	\$	\$	\$
Personal Concessional	\$	\$	\$	\$
Salary Sacrifice	\$	\$	\$	\$
Employer voluntary	\$	\$	\$	\$

PART 2: YOUR DETAILED INFORMATION

5.4 Assets

Investment assets (such as bank accounts, shares, managed funds and investment properties, etc)

- The fund has attached the relevant statement(s)
- The fund chose not to provide these details at this time
- The fund does not have any assets at this time

Owner	Description	Current value	Purchase amt	Purchase date	Maturity date	Income rate	Income amt (pa)	Reinvest	Segregation
		\$	\$	--/ /----	--/ /----	%	\$	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
		\$	\$	--/ /----	--/ /----	%	\$	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
		\$	\$	--/ /----	--/ /----	%	\$	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
		\$	\$	--/ /----	--/ /----	%	\$	%	<input type="checkbox"/> Yes <input type="checkbox"/> No
		\$	\$	--/ /----	--/ /----	%	\$	%	<input type="checkbox"/> Yes <input type="checkbox"/> No

Are any of these investment assets funded by borrowing or used as security for a loan? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, please provide further information and detail below the amount of the borrowed portion that is available for investment purposes. This should not be subject to asset based fees as it is sourced from borrowed funds.
--	--

If you have indicated any of the above assets are segregated please describe how this segregation is applied e.g. by member and/or pension phase?	
---	--

PART 2: YOUR DETAILED INFORMATION

5.5 Liabilities

- The fund has attached the relevant statement(s)
- The fund chose not to provide these details at this time
- The fund does not have any liabilities at this time

Owner	Description	Loan provider	Outstanding balance	Interest rate	Loan term	Type*	Repayment	Frequency	Interest tax deductible	Asset secured against
			\$	%		<input type="checkbox"/> P&I <input type="checkbox"/> IO	\$		%	
			\$	%		<input type="checkbox"/> P&I <input type="checkbox"/> IO	\$		%	
			\$	%		<input type="checkbox"/> P&I <input type="checkbox"/> IO	\$		%	
			\$	%		<input type="checkbox"/> P&I <input type="checkbox"/> IO	\$		%	
			\$	%		<input type="checkbox"/> P&I <input type="checkbox"/> IO	\$		%	
			\$	%		<input type="checkbox"/> P&I <input type="checkbox"/> IO	\$		%	

* Principal & Interest / Interest Only

PART 2: YOUR DETAILED INFORMATION

5.6 Expenses

- Expense information is disclosed
 The fund chose not to provide these details at this time
 Not applicable

Description	Tax deductible	Amount
	<input type="checkbox"/> Yes <input type="checkbox"/> No	\$
	<input type="checkbox"/> Yes <input type="checkbox"/> No	\$
	<input type="checkbox"/> Yes <input type="checkbox"/> No	\$
	<input type="checkbox"/> Yes <input type="checkbox"/> No	\$
	<input type="checkbox"/> Yes <input type="checkbox"/> No	\$
	<input type="checkbox"/> Yes <input type="checkbox"/> No	\$
TOTAL		\$

5.7 Investment strategy of the fund

Is there an existing investment strategy in place? (If no, this needs to be implemented ASAP)	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, date last updated	__/__/____

If there is an existing investment strategy, please complete your asset class ranges below. Please also provide a copy of the fund's full investment strategy to your adviser. Please also refer to part 4 section 6.8.

Asset class	Minimum	Target	Maximum
Alternatives - Absolute Return	%	%	%
Alternatives - Real Return	%	%	%
Australian Equities	%	%	%
Cash	%	%	%
Fixed Interest	%	%	%
International Equities	%	%	%
Property	%	%	%
Other	%	%	%